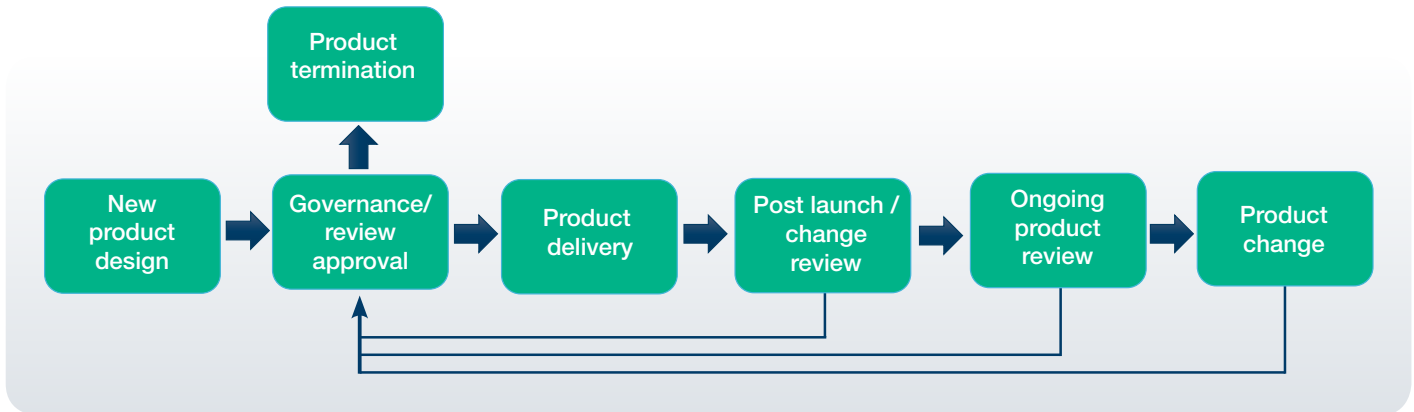




Product approval process and information for distributors

This information is for the use of Distributors and is not intended for end client use.

- Heartwood is a co-manufacturer of the Heartwood Multi Asset Funds.
- The Heartwood product approval process will operate in conjunction with that of our co-manufacturer, The Authorised Corporate Director.



Heartwood product governance - approval process

- Oversight for Heartwood's product approval process is the responsibility of Heartwood's Management Group.



- Heartwood's Investment Management Operating Committee (IMOC) provides the first stage of approval.
- Heartwood's Management Group provide final approval in conjunction with approval by the co-manufacturer.
- The co-manufacturer of the Heartwood Multi Asset Funds is Link Fund Solution.

Access to target market information

- Heartwood target market data is provided in the European MIFID Template (or EMT).
- The EMT is published:
 - By Link Fund Solutions as co-manufacturer of the LF Heartwood Multi Asset Funds.
 - Via third party data vendors such as FundsLibrary.

Should you wish to access the EMT, please contact:
productgovernance@linkgroup.co.uk
product@heartwoodgroup.co.uk

Important Information

Heartwood Investment Management (Heartwood) is a trading name of Heartwood Wealth Management Ltd which is authorised and regulated by the Financial Conduct Authority in the conduct of investment business, and is a wholly-owned subsidiary of Handelsbanken plc.

Registered Head Office: No.1 Kingsway, London, WC2B 6AN | Registered in England Number: 4132340
 020 7045 2600 | heartwoodgroup.co.uk | Part of the Handelsbanken Group